

03-19-04

PATENT APPLICATION 10/750,935

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Philip S. Siegel

Serial Number:

10/750,935

Date Filed:

January 2, 2004

Examiner:

Unknown

Title:

On-Line Rules-Based Return Processing

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service as Express Mail No. EV352391225US addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Shannon Judice

March 18, 2004

Date

Dear Sir:

## **INFORMATION DISCLOSURE STATEMENT**

Applicant respectfully requests, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form, and previously cited in U.S. Application Serial No. 09/817,353, filed March 26, 2001 by Philip S. Siegel, and entitled *System and Method for Single-Action Returns of Remotely Purchased Merchandise*, be considered and cited in the examination of the above-identified application. Pursuant to 37 C.F.R. § 1.98(d), copies of the references are not being furnished. Applicant is enclosing copies of certain references listed in the attached PTO-1449 form which were not previously cited in the above-mentioned patent application. Furthermore, pursuant to 37 C.F.R. §§1.97(g) and (h), no representation is made that these references are material to the patentability of the present application.

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Applicant believes no fees are due for this Information Disclosure Statement, however, the Commissioner is hereby authorized to charge any fees to Deposit Account No. 50-2148 of Baker Botts L.L.P. in order to effectuate this filing.

Respectfully submitted,

BAKER BOTTS L.L.P. Attorneys for Applicant

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Date: 3/16/04

Page 1 of 4

PTO-1449

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Information Disclosure Citation

Application No.

Applicant(s)

10/750,935 Docket Number Philip S. Siegel

Group Art Unit

Filing Date

067439.0158

Unknown

01/02/04

#### **U.S. PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
А	5715399	2/3/98	Bezos	395	227	5/30/1995
В	5715314	2/3/98	Payne, et al.	380	24	10/24/1994
С	5724424	3/3/98	Gifford	380	24	11/29/1995
D	5727163	3/10/98	Bezos	395	227	3/30/1995
E	5812668	9/22/98	Weber	380	24	6/17/1996
F	5815657	9/29/98	Williams, et al.	395	186	4/26/1996
G	5828840	10/27/98	Cowan, et al.	395	200.33	8/6/1996
н	5850446	12/15/98	Berger, et al.	380	24	6/17/1996
ı	5860068	1/12/99	Cook	705	26	12/4/1997
J	5878139	3/2/99	Rosen	380	24	10/16/1996
к	5889863	3/30/99	Weber	380	25	6/17/1996
L	5937394	8/10/99	Wong, et al.	705	26	11/17/1997
М	5943424	8/24/99	Berger, et al.	380	25	6/17/1996

### FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DOCUMENT NO. DATE COUNTRY	CLASS	SUBCLASS	TRANSLATION		
		DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
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# NON-PATENT DOCUMENTS

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
R	UPS Autumn 2002 Forum, "The Far East is not so far," 12259 UPS Forum. ENG/SPEC 4 pages	10/17/02
S	U.S. Patent Application Serial No. 10/659,465 entitled "Method and System Using Return Labels with Dynamically Generated Multiple Datapoint Coding", filed by Edward J. Stashluk Jr. et al. on 9/10/03. (Attorney Docket No. 067439.0141)	9/10/03
Т	U.S. Patent Application Serial No. 10/697,485 entitled "Merchandise Return System with Value Addes Returnds Processing (Data Communication)", filed by Edward J. Stashluk, Jr. on 10/30/03. (Attomey Docket 067439.0138)	10/30/03
U	U.S. Patent Application Serial No. 10/750,705 entitled "Return Centers with Rules-Based Dispositioning of Merchandise" filed by Philip S. Siegel on 01/02/04. (Attorney Docket 067439.0155)	01/02/04

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

PTO-1449				Application No.	Applicant(s)	Applicant(s)				
				10/750,935		Philip S. Siegel				
Information Disclosure Citation				Docket Number	Group Art Unit Filing Da		te			
		in an Applica	ation	067439.0158	Unknown 01/02/0			)4		
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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE			
	Α	5960411	9/28/99	Hartman, et al.	705	26	9/1:	2/1997		
	В	5963949	10/5/99	Gupta, et al.	707	100	12/2	2/1997		
	С	5963916	10/5/99	Kaplan	705	26	10/3	1/1996		
	D	5963924	10/5/99	Williams, et al.	705	40	4/2	6/1996		
	E	5970469	10/19/99	Scroggie, et al.	705	14	3/2	6/1996		
	F	5983208	11/9/99	Haller, et al.	705	40	6/1	7/1996		
	G	5987132	11/16/99	Rowney	380	24	6/1	7/1996		
	Н	5987140	11/16/99	Rowney, et al.	380	49	4/26/1996			
	1	5999924	12/7/99	Bair, et al.	707	4	6/25/1997			
	J	6003024	12/14/99	Bair, et al.	707	3	11/5/1997			
	К	6002767	12/14/99	Kramer	380	24	6/17/1996			
	L	6006225	12/21/99	Bowman, et al.	707	5	9/1/1998			
	М	6016484	1/18/00	Williams, et al.	705	39	4/26/1996			
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	R U.S. Patent Application Serial No. 10/751,216 entitled "On-Line Merchandise Return Lables", filed by Philip S. Siegel on 01/02/04 (Attorney Docket 067439.0157)						01/02/04			
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		DOCUMENT NO.	DATE		NAME	(	CLASS SI		CLASS	FILING DATE		
	Α	2001/0032143	10/18/01		Haseltine		705	26		12/29/2000		
	В	2001/0037247	11/1/01		Haseltine	ļ	705	22		3/12/2001		
	С	2002/0128915	9/12/02		Haseltine		705	26		12/6/2000		
	D	6616189	9/9/03		Raming		283		81	6/8/2001		
	E	6536659	3/25/03		Hauser et al.		235	3	75	11/1	5/2000	
	F	6547136	4/15/03		Sansone		235	3	85	11/2	7/2000	
	G	2002/0095306	7/18/02		Smith et al.		705		1	9/2	8/2001	
	н	2002/0032573	3/14/02	,,,	Williams, et al.		705		1 3/2		7/2001	
	ı	2002/0152093	10/17/02		Chalmers et al.		705	1		3/13/2002		
	J	2002/0138356	9/26/02		Dutta et al.	utta et al. 705 26				3/26/2001		
	К	2002/0032612	3/14/02	•	Williams, et al.		705	26		3/27/2001		
	L	2003/0023496	1/30/03	De Me	ol Van Otterloo et al.		705		22	7/5/2002		
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	Α	2001/0047315	11/29/01	Siegel	Siegel 705 28		3/2	3/26/2001	
	В	2001/0032147	10/18/01	Siegel	705	26	2/28/2001		
	С	2002/0178076	11/28/02	Ross	705	26	5/2	4/2001	
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